



29 June 2020

**THE PHILIPPINE STOCK EXCHANGE, INC.**

9<sup>th</sup> Floor, PSE Tower  
28<sup>th</sup> Street corner 5<sup>th</sup> Avenue  
Bonifacio Global City, Taguig

Attention: **Ms. Janet A. Encarnacion**  
Head, Disclosure Department

Gentlemen:

Attached is SEC Form 23-A, filed on behalf of Mr. Kim A. Garcillanosa, indicating the initial amount of Mr. Garcillanosa's shareholdings in Concrete Aggregates Corporation (CAC). As disclosed, Mr. Garcillanosa was appointed as Chief Audit Executive of CAC during its Organizational Board Meeting held last 25 June 2020.

Thank you and best regards.

Very truly yours,

A handwritten signature in black ink, appearing to read 'jose'.

Jose Evangelista (Jun 29, 2020 14:00 GMT+8)

**Jose Antonio V. Evangelista III**  
Corporate Information Officer



PSE Security Code \_\_\_\_\_  
SEC Number 36140  
File Number \_\_\_\_\_

**CONCRETE AGGREGATES CORPORATION**

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(Company's Full Name)

9<sup>TH</sup> FLOOR, ORTIGAS BUILDING, ORTIGAS AVENUE, PASIG CITY

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(Company's Address)

631-1231  
fax no.: 631-6517

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(Company's Telephone Number)

DECEMBER 31

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(Fiscal Year Ending)  
(Month & Day)

**SEC 23-A**  
**Initial Statement of Beneficial Ownership**

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(FORM TYPE)

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(Amendment Designation if Applicable)

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Period Ended Date

Permit to Offer Securities for Sale

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(Secondary License Type, if any)

**SECURITIES AND EXCHANGE COMMISSION**

**SEC FORM 23-A**

**CURRENT REPORT UNDER SECTION 23  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 18 THEREUNDER**

1. For the fiscal year ended **2020**
2. SEC Identification Number **36140**
3. BIR Tax Identification No. **000-201-881**
4. Exact name of registrant as specified in its charter **CONCRETE AGGREGATES CORP.**
5. **Philippines**  
Province, Country or other jurisdiction of  
Incorporation or organization
6. (SEC Use Only)   
Industry Classification Code;
7. **9<sup>th</sup> Floor, Ortigas Building, Ortigas Avenue, Pasig City** **1605**  
Address of principal office Per Amended Articles of Incorporation approved  
by the SEC on March 23, 2009
8. **(02) 8631-1231 fax no.: 8631-6517**  
Registrant's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 4 and 8 of the RSA

<u>Title of Each Class</u>	<u>Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding</u>
Common Shares	27,466,449

11. Are any or all of these securities listed on the Philippine Stock Exchange?

Yes (x)      No ( )

12. Check whether the registrant:

has filed all reports required to be filed by Section 11 of the Revised Securities Act (RSA) and RSA Rule 11(a) – 1 thereunder and Sections 26 and 141 of The Corporation Code of the Philippines during the preceding 12 months (or for such shorter period that the registrant was required to file such reports);

Yes (x)      No ( )

has been subject to such filing requirements for the 90 days.

Yes (x)      No ( )



29 June 2020

**THE SECURITIES AND EXCHANGE COMMISSION**

Secretariat Building, PICC Complex  
Roxas Boulevard, Pasay City

Attention: **Vicente Graciano P. Felizmenio, Jr.**  
Director, Markets and Securities Regulation Department

Gentlemen:

Attached is SEC Form 23-A, filed on behalf of Mr. Kim A. Garcillanosa, indicating the initial amount of Mr. Garcillanosa's shareholdings in Concrete Aggregates Corporation (CAC). As disclosed, Mr. Garcillanosa was appointed as Chief Audit Executive of CAC during its Organizational Board Meeting held last 25 June 2020.

Thank you and best regards.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Jose'.

Jose Evangelista (Jun 29, 2020 14:00 GMT+8)

**Jose Antonio V. Evangelista III**  
Corporate Secretary

**SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines**

# FORM 23-A

## REVISED

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

**If the reporting person previously owned 5% or more but less than 10%, provide the disclosure requirements set forth on page 3 of this Form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(Print or Type Responses)

\* (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:  
(A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or  
(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:  
(A) held by members of a person's immediate family sharing the same household; held by members of a person's immediate family sharing the same household;  
(B) held by a partnership in which such person is a general partner;  
(C) held by a corporation of which such person is a controlling shareholder; or held by a corporation of which such person is a controlling shareholder; or  
(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-A** (continued)

Table II - Derivative Securities Beneficially Owned (e.g., warrants, options, convertible securities)

### Explanation of Responses:

(Print or Type Responses)

**FORM 23-A** (continued)

Table II - Derivative Securities Beneficially Owned (e.g., warrants, options, convertible securities)

### Explanation of Responses:

**FOR REPORTING PERSONS WHO PREVIOUSLY OWNED 5% OR MORE BUT LESS THAN 10%**  
**DISCLOSURE REQUIREMENTS**

**Item 1. Security and Issuer**

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

**Item 2. Identity and Background**

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

**Item 3. Purpose of Transaction**

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

**Item 4. Interest in Securities of the Issuer**

- a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.
- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

**Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer**

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

**Item 6. Material to be Filed as Exhibits**

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Pasig on 29 June 2020.



Kim A. Garcillanosa (Jun 29, 2020 12:38 GMT+8)

By: **KIM A. GARCILLANOSA**  
**Chief Audit Executive, Concrete Aggregates Corp.**  
(Name/Title)



## CERTIFICATION

I, **JOSE ANTONIO V. EVANGELISTA III**, am the duly elected and qualified Corporate Secretary of CONCRETE AGGREGATES CORPORATION (the "Corporation" of "CAC") with SEC registration number 36140 and principal office at the 9th Floor, Ortigas Building, Ortigas Avenue, Pasig City, do hereby certify and state that:

1. The Corporation will comply with the guidelines for the alternative filing of reports and/or documents through electronic mail with the Securities and Exchange Commission ("SEC") through the Markets and Securities Regulation Department ("MSRD") issued on 30 March 2020 in light of the imposition of an Enhanced Community Quarantine and Stringent Social Distancing Measures over Luzon to prevent the spread of the 2019 Coronavirus Disease (COVID-2019).
2. The information contained in the Initial Statement of Beneficial Ownership of Securities (SEC Form 23-A) submitted online on 29 June 2020 is true and correct to the best of my knowledge.
3. On behalf of CAC, I hereby undertake to (a) submit hard or physical copies of the Initial Statement of Beneficial Ownership of Securities (SEC Form 23-A) submitted online with proper notarization and certification, (b) pay the filing fees (where applicable), (c) pay the penalties due (where applicable), and (d) other impositions (where applicable), upon issuance of relevant regulations by the MSRD.
4. I am fully aware that non-submission of hard/physical copies of reports as well as certification that they refer to one and the same document submitted online, within ten (10) calendar days upon issuance of relevant regulations by the MSRD, shall invalidate the reports, applications, compliance, requests and other documents submitted via email. Hence, the corresponding penalties under existing rules and regulations of the Commission shall apply without prejudice to the imposition of penalties under Section 54 of the Securities Regulation Code and other applicable existing rules and regulations for failure to comply with the orders of the Commission.
5. I am executing this certification on 29 June 2020 to attest to the truthfulness of the foregoing facts and for whatever legal purpose it may serve.



Jose Evangelista (Jun 29, 2020 14:00 GMT+8)

**JOSE ANTONIO V. EVANGELISTA III**

Corporate Secretary  
Driver's License No. N01-18-023515